ATTORNEY DOCKET NO. 074991.0102

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Carlos F. Navarro et al.

Filing Date:

March 30, 2004

Title:

SELF-LIGATING LINGUAL ORTHODONTIC

BRACKET

MAIL STOP PATENT APPLICATION

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Information Disclosure Statement

Applicants respectfully requests, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. Copies of these references are enclosed for the convenience of the Examiner. No representation is made that a search has been made, that these references are material to the patentability of the present invention, or that these references qualify as prior art.

This Application is being filed after June 30, 2003. Therefore, under the July 11, 2003 waiver of 37 C.F.R. § 1.98(a)(2)(i) by the U.S. Patent and Trademark Office, no copy of any U.S. Patent or U.S. Patent Application Publication listed on the attached PTO-1449 form is enclosed.

> Respectfully submitted, BAKER BOTTS L.L.P.

Christopher W. Kennerly

Reg. No. 40,675

Attorneys for Applicants

CWK/bt Correspondence Address: Baker Botts L.L.P. 2001 Ross Avenue, 6th Floor Dallas, Texas 75201-2980 (214) 953-6812

Date: 3/30/04

PTO-1449 Applic					No.	Applicant(s)				
Information Disclosure Citation in an Application						Carlos F. Navarro et al. Group Art Unit Filing Date March 30				
				Docket Nur	nber					
				074991.0	102			, 2004		
U.S. PATENT DOCUMENTS										
		DOCUMENT NO.	DATE	N	NAME		SUBCLASS	SUBCLASS FILING DATE		
	A									
	В									
	С					-				
FOREIGN PATENT DOCUMENTS										
				0011170		011001 400	TRANSL			
	D	DOCUMENT NO.	DATE	COI	UNTRY	CLASS	SUBCLASS	YES	NO	
	E									
NON-PATENT DOCUMENTS										
		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)						DATE		
	F	Hatto Loidl, "Self-Ligating Lingual Brackets," DR MED DENT, Orthodontic Products, 4 pages						February 2004		
	•	J.R. Smith, et al., "Twelve Key Principles of Lingual Orthodontic Therapy," white paper, Ormco						A m-il 1005		
	G								April 1985	
	Н							Undated		
	ı,	John R. Smith, et al., "Keys to Success in Lingual Therapy, Part 1," Journal of Clinical Orthodontics, Volume XX No. 4, 23 (includes magazine cover page)						April 1986		
	J	C. Moody Alexander, et al., "Lingual Orthodontics A Status Report," Journal of Clinical Orthodontics, Volume XVI, No. 4, 9 pages (includes magazine cover)							April 1982	
		Craven Kurz, et al., "Part 2 Research and Development," Lingual Orthodontics: A Status Report,						November 1982		
	K	Journal of Clinical Orthodontics, Volume XVI, No. 11, 6 pages Robert P. Scholz, et al., "Part 3 Indirect Bonding – Laboratory and Clinical Procedures," Lingual								
	L	Orthodontics: A Status Report, Journal of Clinical Orthodontics, Volume XVI, No. 12, 8 pages							December 1982	
	М	John C. Gorman, et al, "Part 4 Diagnosis and Treatment Planning," Lingual Orthodontics: A Status Report, Journal of Clinical Orthodontics, Volume XVII, No.1, 10 pages						January 1983		
	N	C. Moody Alexander, et al., "Part 5 Lingual Mechanotherapy," Lingual Orthodontics: A Status Report, Journal of Clinical Orthodontics, Volume XVII, No. 2, 17 pages						February 1983		
	0	C. Moody Alexander, et al., "Part 6, Patient and Practice Management," Lingual Orthodontics: A Status Report, Journal of Clinical Orthodontics, Volume XVII No. 4, 7 pages						April 1983		
-	Р	Craven Kurz, et al., "Part 7A, Case Reports – Nonextraction, Consolidation," Lingual Orthodontics: A Status Report, Journal of Clinical Orthodontics, Volume XVII, No. 5, 12 pages						May 1983		
		Unknown, "Ovation Systems," GAC International, Inc., Catalog 11, 18 pages (includes cover						Copyright		
	Q	page, Introduction page, Table of Contents page)						2001		
	R									
s										
EXAMINER DA						SIDERED	L			
EXA	MIN	ER: Initial if citation	n considered, whe	ther or not ci	tation is in cor	nformance with	MPEP § 609. I	Draw line t	hrough	
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.										